



# Department of Defense DIRECTIVE

NUMBER 5200.41

July 30, 2004

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USD(P)

SUBJECT: DoD Centers for Regional Security Studies

- References:
- (a) Sections 113 and 184 of title 10, United States Code
  - (b) [DoD Directive 5100.1](#), "Functions of the Department of Defense and Its Major Components," August 1, 2002
  - (c) Deputy Secretary of Defense Memorandum, "Appointment of Directors of Regional Centers," March 20, 2003 (hereby superseded)
  - (d) [DoD Directive 5200.34](#), "George C. Marshall European Center for Security Studies," November 25, 1992
  - (e) through (g), see enclosure 1

## 1. PURPOSE

Pursuant to the authority vested in the Secretary of Defense under references (a) and (b), this Directive:

1.1. Establishes responsibilities for policy oversight, management, and support of the DoD Centers for Regional Security Studies.

1.2. Implements and supersedes reference (c).

## 2. APPLICABILITY AND SCOPE

2.1. This Directive applies to the Office of the Secretary of Defense, the Military Departments, the Chairman of the Joint Chiefs of Staff, the Combatant Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies, the DoD Field Activities, and all other organizational entities in the Department of Defense (hereafter referred to collectively as the "DoD Components").

2.2. The DoD Centers for Regional Security Studies include the following:

2.2.1. The George C. Marshall European Center for Security Studies. (See DoD Directive 5200.34, reference (d).)

2.2.2. The Asia-Pacific Center for Security Studies. (See DoD Directive 5200.38, reference (e).)

2.2.3. The Center for Hemispheric Defense Studies, at the National Defense University (NDU). (See DoD Directive 3200.13, reference (f).)

2.2.4. The Africa Center for Strategic Studies, at the NDU.

2.2.5. The Near East-South Asia Center for Strategic Studies, at the NDU.

2.3. This Directive supersedes any conflicting portions of references (d), (e), and (f).

### 3. POLICY

It is DoD policy that:

3.1. The DoD Centers for Regional Security Studies (hereafter referred to as "Regional Centers") shall support DoD policy objectives, as set forth, in particular, in the DoD Defense Strategy and the DoD Security Cooperation Guidance, with activities designed to enhance security, foster partnerships, improve national security decision-making, and strengthen civil-military relationships. This shall be accomplished through education, exchanges, research, and information sharing. A core Regional Center mission shall be to support the Department's policies and priorities by assisting military and civilian leaders in the region in developing strong defense establishments and strengthening civil-military relations in a democratic society.

3.2. Each Regional Center shall consist of a Director selected by the Under Secretary of Defense for Policy (USD(P)), subject to the approval of the Secretary of Defense, and such organizational elements and officials as established by the Center Director within the resources provided.

3.3. The Regional Center Directors shall develop and implement their activities according to policy guidance and oversight from the USD(P) and guidance from the Commanders of the Regional Combatant Commands for their respective regions.

Where appropriate, Regional Center Directors shall also seek guidance on Regional Center activities from the Commanders of the Functional Combatant Commands.

3.3.1. The Regional Center Directors shall support the President's National Security Strategy, the Defense Strategy, the DoD Security Cooperation Guidance, and activities of each Regional Combatant Command in their respective geographic regions.

3.3.2. Within the scope of the policy guidance provided by the USD(P), and guidance from the affected Combatant Commanders or the President of the NDU, the Regional Center Directors shall have discretion in developing and implementing activities for their respective regions.

3.4. Subject to the policy oversight of the USD(P), Regional Centers shall be under the authority, direction, and control of the Commander of a Regional Combatant Command or the President of the NDU. Regional Center Directors are responsible to the Commander of the Regional Combatant Command where assigned, or to the President of the NDU for implementing activities according to DoD policy.

3.5. Regional Center resource allocation, management practices, activities, and measures of effectiveness shall be reviewed under the direction of the USD(P) to determine their effectiveness in achieving DoD policy objectives.

3.6. Regional Center activities shall be conducted in accordance with the laws and policies governing U.S. interaction with foreign countries.

3.7. Participants in Regional Center activities may include U.S. and foreign defense, military, and other appropriate government and non-government civilian personnel, in accordance with applicable laws.

3.8. Where authorized by law, gifts or donations may be used to support approved programs and activities at Regional Centers. Requests for delegated authority to accept gifts or donations shall be submitted by the Directors of Regional Centers through command channels to the Secretary of Defense through the USD(P) and Under Secretary of Defense (Comptroller).

#### 4. RESPONSIBILITIES AND FUNCTIONS

4.1. The Under Secretary of Defense for Policy shall:

4.1.1. Serve as the principal assistant and advisor to the Secretary of Defense for Regional Center policy and programs, and in these capacities, consult with the Regional Combatant Commanders and the President of the NDU, as appropriate.

4.1.2. Provide policy guidance and oversight for the Regional Centers and conduct reviews of the effectiveness of the Regional Centers in achieving DoD objectives.

4.1.3. Coordinate with the DoD Components and others, as appropriate, on programming and budgetary matters pertaining to the Regional Centers; and review significant proposed changes to programs, budgets, and management practices of the Regional Centers.

4.1.4. Select the Directors of the Regional Centers, subject to the approval of the Secretary of Defense. In making each selection, the USD(P) shall consult with the Chairman of the Joint Chiefs of Staff and the Regional Combatant Commander concerned or the President of the NDU, as appropriate.

4.1.5. Report annually, pursuant to reference (a), on the operation of DoD Regional Centers, including information on gifts and donations.

4.2. The Chairman of the Joint Chiefs of Staff shall consult with the USD(P) on the selection of the Regional Center Directors and shall coordinate with the USD(P), the affected Regional Combatant Commanders, and the President of the NDU on matters pertaining to Regional Center activities and support.

4.3. The Commanders, U.S. European and U.S. Pacific Commands shall, subject to the policy oversight of the USD(P), exercise authority, direction, and control over the Regional Centers established within their commands as follows:

4.3.1. U.S. European Command: George C. Marshall European Center for Security Studies.

4.3.2. U.S. Pacific Command: Asia-Pacific Center for Security Studies.

4.4. The Commanders of Regional Combatant Commands shall:

4.4.1. Consult with the USD(P) on the selection of Regional Center Directors under their respective authority, direction, and control.

4.4.2. Consult with respective Regional Centers on how to reinforce the Combatant Commanders' execution of the Defense Strategy and the DoD Security Cooperation Guidance, including how to foster partnerships and strengthen civil-military relationships.

4.4.3. Participate as requested by the USD(P) in reviews of Regional Center resource allocation, management practices, activities, and measures of effectiveness.

4.5. The President of the National Defense University shall:

4.5.1. Subject to the policy oversight of the USD(P), exercise authority, direction, and control over the following Regional Centers:

4.5.1.1. Center for Hemispheric Defense Studies.

4.5.1.2. Africa Center for Strategic Studies.

4.5.1.3. Near East-South Asia Center for Strategic Studies.

4.5.2. For assigned Regional Centers:

4.5.2.1. Consult with the USD(P) on the selection of Regional Center Directors.

4.5.2.2. Participate as requested by the USD(P) in reviews of Regional Center resource allocation, management practices, activities, and measures of effectiveness.

4.5.2.3. Support coordination between the Regional Centers and their respective Regional Combatant Commands for the effective employment of support available through Regional Center activities.

4.5.3. Program and budget to fund the operational and base operations support for the Center for Hemispheric Defense Studies, the Africa Center for Strategic Studies, and the Near East-South Asia Center for Strategic Studies.

4.5.4. Coordinate programming and budgetary support for the Center for Hemispheric Defense Studies, the Africa Center for Strategic Studies, and the Near East-South Asia Center for Strategic Studies, including adjustments to their approved budgets, with the USD(P) and the Commanders of Combatant Commands, as appropriate.

4.6. The Secretary of the Army shall:

4.6.1. Program and budget to fund the operational and base operations support for the George C. Marshall European Center for Security Studies in coordination with the Commander, U.S. European Command, and in accordance with the support arrangements established by DoD Directive 5100.3 (reference (g)).

4.6.2. Coordinate programming and budgetary support for the Marshall Center, including adjustments to its approved budget, with the USD(P) and the Commander, U.S. European Command.

4.6.3. Participate as requested by USD(P) in reviews of Regional Center resource allocation, management practices, activities, and measures of effectiveness.

4.7. The Secretary of the Navy shall:

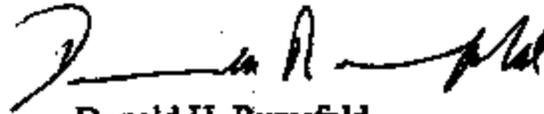
4.7.1. Program and budget to fund the operational and base operations support for the Asia-Pacific Center for Security Studies in coordination with the Commander, U.S. Pacific Command, and in accordance with the support arrangements established by reference (g).

4.7.2. Coordinate programming and budgetary support for the Asia-Pacific Center, including adjustments to its approved budget, with the USD(P) and the Commander, U.S. Pacific Command.

4.7.3. Participate as requested by the USD(P) in reviews of Regional Center resource allocation, management practices, activities, and measures of effectiveness.

5. EFFECTIVE DATE

This Directive is effective immediately.

A handwritten signature in black ink, appearing to read "Donald H. Rumsfeld".

**Donald H. Rumsfeld**  
**Secretary of Defense**

Enclosures - 1

E1. References, continued

E1. ENCLOSURE 1

REFERENCES, continued

- (e) [DoD Directive 5200.38](#), "Asia-Pacific Center for Security Studies,"  
January 29, 1996
- (f) [DoD Directive 3200.13](#), "Center for Hemispheric Defense Studies,"  
September 3, 1997
- (g) [DoD Directive 5100.3](#), "Support of the Headquarters of Combatant and Subordinate  
Joint Commands," November 15, 1999