



Department of Defense DIRECTIVE

NUMBER 5101.5

March 31, 2004

DA&M

SUBJECT: DoD Executive Agent for Joint Urban Operations

- References:
- (a) Section 113 of title 10, United States Code
 - (b) [DoD Directive 5101.1](#), "DoD Executive Agent," September 3, 2002
 - (c) Deputy Secretary of Defense Memorandum, "DoD Executive Agent for Joint Urban Operations," September 17, 2002 (hereby canceled)
 - (d) [DoD Instruction 4000.19](#), "Interservice and Intragovernmental Support," August 9, 1995
 - (e) through (g), see enclosure 1

1. PURPOSE

Pursuant to the authority vested in the Secretary of Defense by reference (a), this Directive:

1.1. Establishes policy and assigns responsibilities and authorities for joint urban operations doctrine, organization, training, and equipment within the Department of Defense (DoD).

1.2. Designates the Commander, U.S. Joint Forces Command, as the DoD Executive Agent for Joint Urban Operations in accordance with reference (b).

1.3. Supersedes reference (c).

2. APPLICABILITY

This Directive applies to the Office of the Secretary of Defense, the Military Departments, the Chairman of the Joint Chiefs of Staff, the Combatant Commands, the Office of the Inspector General of the Department of Defense, the Defense Agencies,

the DoD Field Activities, and all other organizational entities in the Department of Defense (hereafter referred to collectively referred to as "the DoD Components").

3. MISSION

The DoD Executive Agent for Joint Urban Operations shall lead, coordinate, and integrate the urban operations doctrine, organization, training, and equipment activities of the DoD Components to improve and transform the capabilities of joint forces for conducting urban operations over the full range of urban contingencies.

4. POLICY

It is DoD policy that:

4.1. The integration and management oversight of joint urban operations concept development, experimentation, and requirements generation shall be performed on a DoD-wide basis by the DoD Executive Agent for Joint Urban Operations in accordance with applicable law and pertinent Federal and DoD policies and regulations.

4.2. Interservice support agreements, memoranda of understanding, and other written arrangements, in accordance with DoD Instruction 4000.19 (reference (d)), shall be used to the maximum extent practicable to establish the support arrangements necessary for the DoD Executive Agent for Joint Urban Operations to fulfill the responsibilities assigned by this Directive and reference (b).

4.3. Cooperation and support shall be provided to the DoD Executive Agent for Joint Urban Operations in a manner that ensures the effective and efficient use of resources and facilitates the mission of the DoD Executive Agent.

5. RESPONSIBILITIES

5.1. The Commander, U.S. Joint Forces Command is hereby designated as the DoD Executive Agent for Joint Urban Operations and in that role shall exercise broad responsibilities for joint urban operations matters.

5.2. The DoD Executive Agent for Joint Urban Operations shall:

5.2.1. Ensure all DoD Executive Agent for Joint Urban Operations responsibilities and functions are implemented in accordance with this Directive.

5.2.2. Serve as the DoD proponent for joint urban operations, including interoperability, within the Department of Defense and with interagency and international partners.

5.2.3. Supervise the implementation and maintenance of the DoD Master Plan for Joint Urban Operations. Review the Master Plan annually and recommend necessary changes to the Secretary of Defense through the Chairman of the Joint Chiefs of Staff.

5.2.4. Develop, integrate, and oversee joint urban operations concept development, model and simulation development, experimentation and requirements generation among the DoD Components. Identify required modeling and simulation capabilities and issues to the Under Secretary of Defense for Acquisition, Technology, and Logistics (USD(AT&L)) through the Chairman of the Joint Chiefs of Staff in accordance with DoD Directive 5000.59 (reference (e)).

5.2.5. Sponsor and coordinate joint urban operations requirements documents and maintain the currency of Joint Publication 3-06, Doctrine for Joint Urban Operations in coordination with the Chairman of the Joint Chiefs of Staff. As required, review requirements documents for joint urban operations mission needs.

5.2.6. Develop realistic urban joint training opportunities for joint forces, including training for joint force commanders and staffs. Use simulation techniques where appropriate and maximize the use of extant facilities and the Joint National Training Capability to meet training objectives.

5.2.7. Participate, through the Chairman of the Joint Chiefs of Staff, in the Defense Science and Technology planning process to ensure joint urban operations requirements are supported by Defense Technology Objectives and Advanced Concept Technology Demonstrations (ACTDs). Recommend sponsors for joint urban operations-related ACTDs, as appropriate.

5.2.8. Recommend DoD-wide joint urban operations-related planning and programming guidance to the Under Secretary of Defense for Policy (USD(P)), the USD(AT&L), the Under Secretary of Defense for Intelligence, the Under Secretary of Defense for Personnel and Readiness, the Under Secretary of Defense (Comptroller) (USD(C)), the Assistant Secretary of Defense for Networks and Information Integration, the Director, Programs Analysis and Evaluation (PA&E), and the Chairman of the Joint Chiefs of Staff for consideration in their formulation of planning and programming guidance documents. Provide information copies of such submissions to the Secretaries of the Military Departments.

5.2.9. Establish, maintain, and preserve records that document the transaction of business and mission of the DoD Executive Agent for Joint Urban Operations to provide evidence of the DoD Executive Agent's organization, functions, policies, procedures, decisions, and activities in accordance with DoD Directive 5015.2 (reference (f)). Collect, compile, and catalog lessons learned during the conduct of joint or DoD Components' urban operations experimentation, military exercises, or contingency operations.

5.3. The Heads of the DoD Components shall:

5.3.1. Implement their responsibilities and functions for joint urban operations doctrine; organization, training, and equipment in accordance with this Directive.

5.3.2. Support and participate in the joint urban operations-related activities of the DoD Executive Agent for Joint Urban Operations.

5.3.3. Support the DoD Executive Agent's execution and review of the DoD Master Plan for Joint Urban Operations.

5.3.4. In support of these activities, provide to the DoD Executive Agent for Joint Urban Operations the following information regarding urban operations:

5.3.4.1. Doctrine, organization, training, equipment, and models and simulations.

5.3.4.2. Programs and funding.

5.3.4.3. Operational requirements and recommendations on priorities.

5.3.4.4. Force structure and capabilities.

5.4. The Chairman of the Joint Chiefs of Staff shall exercise the designated authorities and responsibilities of the Chairman of the Joint Chiefs of Staff as established by law or DoD guidance to facilitate the mission of the DoD Executive Agent for Joint Urban Operations and to implement the actions as specified herein and required by reference (b).

5.5. The OSD Principal Staff Assistants (PSAs) shall, within their functional areas, exercise their designated authorities and responsibilities as established by law or DoD guidance to facilitate the mission of the DoD Executive Agent for Joint Urban

Operations and to implement their respective actions as specified herein and required by reference (b).

5.6. The Under Secretary of Defense for Policy shall oversee the implementation of the DoD policies and responsibilities established by this Directive.

5.7. The Under Secretary of Defense for Acquisition, Technology, and Logistics shall:

5.7.1. Address modeling and simulation requirements identified by the DoD Executive Agent for Joint Urban Operations, in accordance with reference (e).

5.7.2. Through the Director, Defense Research and Engineering, address joint urban operations-related requirements in the Defense Science and Technology planning process. Incorporate appropriate joint urban operations needs in the Defense Technology Area Plan and the Joint Warfighting Science and Technology Plan and other planning documents as appropriate. Support joint urban operations-related ATCDs, as appropriate.

5.8. The Under Secretary of Defense (Comptroller) and the Director, Program Analysis and Evaluation shall ensure that funds and resources required to support the DoD Executive Agent for Joint Urban Operations are included in the DoD Planning, Programming, and Budgeting System in accordance with reference (b).

5.9. Nothing herein shall be interpreted to subsume or replace the functions, responsibilities, or authorities of the OSD PSAs, the Chairman of the Joint Chiefs of Staff, or the Commanders of Combatant Commands as prescribed by law or DoD guidance.

6. RELATIONSHIPS

6.1. In performing assigned responsibilities, the DoD Executive Agent for Joint Urban Operations is subject to the authority, direction, and control of the Secretary of Defense. The Secretary of Defense shall normally communicate to the DoD Executive Agent for Joint Urban Operations through the Chairman of the Joint Chiefs of Staff and the USD(P).

6.2. The Executive Agent for Joint Urban Operations shall:

6.2.1. Maintain close communications with the Heads of the DoD Components and OSD PSAs responsible for urban operations doctrine, organization,

training, equipment, and related matters. Matters pertaining to urban operations doctrine, organization, training, and equipment shall be coordinated, as appropriate, with these officials to ensure their needs are met.

6.2.2. Coordinate with the Heads of the DoD Components on their urban operations doctrine, organization, training, equipment, facilities, and related programs to ensure that resources are used to achieve maximum effect. Address changes in DoD submissions that support transformation in Joint Urban Operations capabilities.

6.2.3. Support the USD(C) and the Director, PA&E, as requested, in the preparation and validation of economic analyses in support of the integrated joint urban operations financial strategic plans and programs.

7. AUTHORITY

The DoD Executive Agent for Joint Urban Operations is hereby delegated authority to:

7.1. Obtain reports and information consistent with DoD Directive 8910.1 (reference (g)), as necessary to carry out assigned functions.

7.2. Communicate directly with the Heads of the DoD Components, as necessary to carry out assigned functions including the transmission of requests for advice and assistance. Communications to the Military Departments shall be transmitted through the Secretaries of the Military Departments or their designees, or as otherwise provided in law or directed by the Secretary of Defense in other DoD issuances. Communications to the Commanders of the Combatant Commands, except in unusual circumstances, shall be transmitted through the Chairman of the Joint Chiefs of Staff.

7.3. Communicate with other Government officials, representatives of the Legislative Branch, and representatives of foreign governments, as appropriate, in carrying out assigned responsibilities.

8. EFFECTIVE DATE

This Directive is effective immediately.



Paul Wolfowitz
Deputy Secretary of Defense

Enclosures - 1

E1. References, continued

E1. ENCLOSURE 1

REFERENCES, continued

- (e) [DoD Directive 5000.59](#), "DoD Modeling and Simulation (M&S) Management,"
January 4, 1994
- (f) [DoD Directive 5015.2](#), "DoD Records Management Program," March 6, 2000
- (g) [DoD Directive 8910.1](#), "Management and Control of Information Requirements,"
June 11, 1993